#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 20003/10003

10/736716

### PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s):

Sandler et al.

Title:

"Artificial Iris and Lens Apparatus"

- 1. Type of Application
  - In this is a new application for a
    - □ utility patent.
- 2. Application Papers Enclosed
  - 1 Title Page
  - Pages of Specification(excluding Claims, Abstract, Sequence Listing & Drawings)
  - 1 Pages of Claims
  - 1 Page of Abstract
  - 3 Sheets of Drawings (FIGS. 1 to 8)

⊠ Formal

Informal

### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on December 16, 2003 in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 403727765 US.

Charissa D. Wheeler

3.	Declar	ration or Oath					
		Enclosed					
		Executed by (check all applicable boxes)					
		Inventor(s)					
				Legal representative of inventor(s) (37 CFR 1.42 or 1.43)			
			Joint inventor or person showing a proprietary int behalf of inventor who refused to sign or cannot be				
				The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee.			
	$\boxtimes$	Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.					
4.	Small	Entity Status					
	$\boxtimes$	Applio	oplicant claims small entity status. See 37 CFR 1.27.				
		A sma	all entity statement is(are) attached.				
5.	Addit	ional Papers Enclosed					
		Preliminary Amendment					
		Inform	Information Disclosure Statement  Declaration of Biological Deposit				
		Declar					
		Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence					
		Micro	Microfiche computer program				
		Assoc	Associate Power of Attorney				
		Verifi	ed trans	lation of a non-English patent application			
		An ass	signmen	at of the invention			
		Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)					
	$\boxtimes$	Return receipt postcard					

Į.

Other

# 6. Priority Applications Under 35 USC 119

	Certified co	pies of appli	cations from	which priorit	y under 35	USC 119	is claimed	d are
listed	below and							

are attached.		
will follow.		

COUNTRY	APPLICATION NO.	FILED

## 7. Filing Fee Calculation (37 CFR 1.16)

# A. Utility Application

CLA	IMS AS FILED -	INCLUDING P	RELIMINARY	Y AMENDMI	ENT(S) (IF AN	Y)
			SMALL ENTITY		OTHER THAN A SMALL	
					ENTI	TY
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$385.00		\$770.00
TOTAL	1 - 20	= 0	X 9=	\$0.00	X 18 =	\$0.00
INDEP.	1 - 3	= 0	X 43 =	\$0.00	X 86 =	\$0.00
First Presentation of Multiple Dependent Claim Filing Fee:			+ 145 =	\$0.00	+ 290 =	\$0.00
				\$385.00	or	\$0.00

В.		<b>Design Application (\$170.00/\$340.00)</b>	Filing Fee:	\$0.00
C		Plant Application (\$265.00/\$530.00)	Filing Fee:	\$0.00
D.	Other	Fees		
		Recording Assignment		
		[Fee — \$40.00 per assignment]		\$0.00
		Petition fee for filing by other than all the inventor or person on behalf of the inventor where inventor refused to sign	s	
		or cannot be reached [Fee — \$130.00]		\$0.00
		Other		\$0.00

**Total Fees Enclosed** 

\$385.00

### 8. Method of Payment of Fees

 $\boxtimes$ 

Encl	\$385.00	
	Charge Deposit Account No. 50-2455 in the amount of: A copy of this Transmittal is enclosed.	\$0.00
	Not enclosed	

## 9. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 50-2455. A copy of this Transmittal is enclosed.

Please refund any overpayment to Grossman & Flight LLC at the address below.

## 10. Correspondence Address

Respectfully submitted,

GROSSMAN & FLIGHT LLC 20 North Wacker Drive Suite 4220 Chicago, Illinois 60606-6357 (312) 580-1020 (312) 580-9696 (Telefacsimile)

By:

Mark G. Hanley

Registration No.: 44,736

December 16, 2003